RECEIVED CENTRAL FAX CENTER

JUN 1 4 2006

·						
T400000	Application Number 10/7		762678			
FACSIMILE	Confirmation Number 5265		5			
TRANSMITTAL FORM	Filing Date Janu		uary 20, 2004			
FURIVI	First Named Inventor Oud		derkirk, Andrew J.			
	Examiner Name					
Fax: 571-273-8300	Attorney Docket Number	5838	8US004			
Total Number of Pages in This	Submission: 4					
Date: June 14, 2006	Attorney for Applicant: Ste	phen (C. Jensen			
		·				
EN	CLOSURES (check all th	at app	oly)			
Fee Transmittal Form Issue Fee Transmittal Amendment Transmittal	Petition		Appeal Communication to Board of Appeals and Interferences			
Amendment/Reply After Final Affidavits/Declaration(s)	Petition to Convert a Provising Application	ional	Appeal Communication to Technology Center (Appeal Notice, Brief, Reply Brief)			
☐ Extension of Time Request	☐ Power of Attorney, Revocat	ion [☐ Proprietary Information			
Express Abandonment Request	Change of Correspondence Address		Status Letter			
Information Disclosure Statement	☐ Terminal Disclaimer		Other Enclosures:			
Response to Missing Parts/ Incomplete Application Response to Missing Parts	Request for Refund					
under 37 CFR § 1.52 or 1.53 Response to Missing Parts under 35 USC 371 in US Designated/ Elected Office (DO/EO/US)	Request for Continued Examination (RCE) Transmittal					
☐ Drawings	After Allowance Communication to Techno Center	logy				
REMARKS:						

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION MAY CONTAIN CONFIDENTIAL OR LEGALLY PRIVILEGED INFORMATION INTENDED ONLY FOR THE PERSON OR ENTITY NAMED BELOW.

If you are not the intended recipient, please do not read, use, disclose, distribute or copy this transmission. If this transmission was received in error, please immediately notify me by telephone directly at 651-736-3369 or 651-733-1500, and we will arrange for its return at no cost to you.

RECEIVED CENTRAL FAX CENTER

JUN 1 4 2006

Patent

Case No.: 58388US004

32692 Customer Number

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

OUDERKIRK, ANDREW J.

Application No.:

10/762678

Confirmation No.:

5265

Filed:

January 20, 2004

Title:

PHOSPHOR BASED LIGHT SOURCES HAVING FRONT ILLUMINATION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CE	RTIF	IC/	TE	of mailing	OR	R TRANSMISSION [37 CFR § 1.8(a)	1

I hereby certify that this correspondence is being:

- deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☑ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

Date 14, 2000 and Indian Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A copy of the cited U.S. Patent is not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

Fees:

Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

If necessary, charge any required fee, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

12 June 2006

Date

Stephen C. Jensen, Reg. No.: 35,207

Telephone No.: 651-736-3369

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Application Number	10/762678
Filing Date	January 20, 2004
First Named Inventor	Ouderkirk, Andrew J.
Confirmation Number	5265
Attorney Case Number	58388US004

	U.S. Patent Documents							
Exam. Cite		Document Number	Publication Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
init." No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document					
	A1	US- 5,552,927	09-03-1996	Wheatley et al.				
	A2	US-						

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document Ctry. Code Number-KindCode (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
	B1						

	OTHER DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)			
	C1					

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

RECEIVED CENTRAL FAX CENTER

JUN 1 4 2006

32692 Customer Number Patent Case No.: 58388US004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TO

First Named Inventor:

OUDERKIRK, ANDREW J.

Application No.:

10/762678

Confirmation No.:

5265

Filed:

January 20, 2004

Title:

PHOSPHOR BASED LIGHT SOURCES HAVING FRONT ILLUMINATION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(n)]

I hereby certify that this correspondence is being:

- deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- Itansmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

Date 14, 5000 Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A copy of the cited U.S. Patent is not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

Fees:

Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723*One_copy of this sheet marked duplicate is also enclosed.

If necessary, charge any required fee, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

12 June 2006

Date

Stephen C. Jenser

Telephone No.: 651-736-3369

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833